



Docket No. CF/034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.
Application No.: 10/047,607 Confirmation No.: 5741
Filed : January 14, 2002
For : SYSTEMS AND METHODS FOR ELECTRONIC
TRADING THAT PERMIT PRINCIPAL/BROKER
TRADING
Group Art Unit : 2164
Examiner : Not Yet Assigned

Commissioner for Patents New York, NY 10020
P.O. Box 1450 June 25, 2003
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

JUL 02 2003

GROUP 3600

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. The information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Accordingly, no payment of fees is required pursuant to 37 C.F.R. § 1.97(c)(1).

However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information

Disclosure Statement to Deposit Account No. 06-1075. A
duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Denise Bergin
Denise Bergin
Reg. No. 50,581
Attorney for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax : (212) 596-9090

I hereby certify that this
Correspondence is being
deposited with the U.S.
Postal Service as First
Class Mail in an envelope
Addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 on

June 25, 2002
Claire J. Samoil van Goodman
[Signature]
Signature of Person Signing